MAY 0 4 2005 Complete if Known Application Number 09/998,895 Filing Date INFORMATION DISCLOSURE November 30, 2001 · First Named Inventor STATEMENT BY APPLICANT Teng, Joan C. Art Unit -2176 Examiner Name Amelia L. Rutledge (Use as many sheets as necessary) **Attorney Docket Number** 021756-013000US Sheet

Examiner	Cite	Document Number	U.S. PATENT DC	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document-	Relevant Passages or Relevant Figures Appear
an	A1	US-5,301,320	04-05-1994	McAtee et al.	
an	A2	US-5,659,616	08-19-1997	Sudia	
aic	A3	US-5,734,837	03-31-1998	Flores et al.	
an	A4	US-5,745,574	04-28-1998	Muftic	
ore	A5	US-5,796,841	08-18-1998	Cordery et al.	
ar	A6	US-5,822,454	10-13-1998	Rangarajan	
ar	A7	US-5,831,975	11-03-1998	Chen et al.	
an	A8	US-5,867,665	02-02-1999	Butman et al.	
an	A9	US-5,867,824	02-02-1999	Saito et al.	
ar	A10	US-5,884,284	03-16-1999	Peters et al.	
ap	A11	US-5,903,882	05-11-1999	Asay et al.	
æ	A12	US-5,907,837	05-25-1999	Ferrel et al.	
al	A13	US-5,940,834	08-17-1999	Pinard et al.	
w	A14	US-5,960,422	09-28-1999	Prasad	
ap	A15	US-5,961,593	10-05-1999	Gabber et al.	
ap	A16	US-5,966,702	10-12-1999	Fresko et al.	
ap	A17	US-5,987,457	11-16-1999 ·	Ballard	
an	A18	US-5,991,768	11-23-1999	Sun et al.	
ak	A19	US-5,991,807	11-23-1999	Schmidt et al.	
ar	A20	US-6,012,098	01-04-2000	Bayeh et al.	
ap	A21	US-6,044,462	· 03-28-2000	Zubeldia et al.	
ar	A22	US-6,061,726	05-09-2000	Cook et al.	
ak	A23	US-6,061,740	05-09-2000	Ferguson et al.	
an	A24	US-6,105,063	08-15-2000	Hayes, Jr.	
ar	A25	US-6,105,066	08-15-2000	Hayes, Jr.	
ar	A26	US-6,112,181	08-29-2000	Shear et al.	
ak	A27	US-6,154,776	11-28-2000	Martin	
ak	A28	US-6;173,311 B1	01-09-2001	Hassett et al.	
ar	A29	US-6,195,689 B1	02-27-2001	Bahlmann	
ar	A30	US-6,195,696 B1	02-27-2001	Baber et al.	_
all	A31	US-6,216,231 B1	04-10-2001	Stubblebine	
ar	A32	US-6,219,667 B1	04-17-2001	Lu et al.	
ak	A33	US-6,223,291 B1	04-24-2001	Puhl et al.	
ar	A34	US-2001-0002485 A1	05-31-2001	Bisbee et al.	
are	A35	US-2001-0007133 A1	07-05-2001	Moriconi et al.	
xaminer Signature		a Butterly		Date Considered	1/14/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. 60752188 v1



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 09/998,895

Filing Date November 30, 2001

First Named Inventor Teng, Joan C.

Art Unit 2176

Examiner Name Amelia L. Rutledge

Attorney Docket Number 021756-013000US

(Use as many sheets as necessary)
Sheet 2 of

U.S. PATENT DOCUMENTS Pages, Columns, Lines, Where Relevant Passages or Relevant Name of Patentee or **Document Number** tritiats* MM-DD-YYYY Applicant of Cited Document nt Passages or Relevant Number Kind Code^{2 (F known)} Figures Appear apl A36 US-6,263,332 B1 07-17-2001 Nasr et al. A37 US-6,263,363 B1 07-17-2001 ÜK Rosenblatt et al. A38 US-6,275,916 B1 08-14-2001 Weldon, Jr. et al. ak US-6,292,833 B1 09-18-2001 Liao et al. A40 US-2001-0023440 A1 09-20-2001 Franklin et al. ail US-6,295,061 B1 09-25-2001 Park et al. A41 A42 US-2001-0027439 A1 10-04-2001 Holtzman et al. ar A43 US-6,304,949 B1 10-16-2001 Houlsdworth A44 US-6,317,783 B1 11-13-2001 Freishtat et al. US-2001-0049770 A1 12-06-2001 Cai et al. an A46 US-6,330,569 B1 12-11-2001 ar Baisley et al. al A47 US-6,336,124 B1 01-01-2002 Alam et al. A48 US-6,336,214 B1 01-01-2002 Sundaresan A49 US-6,339,826 B2 01-15-2002 Hayes, Jr. et al. A50 US-6,367,013 B1 04-02-2002 Bisbee et al. US-2002-0053023 A1 M 05-02-2002 Patterson et al. A52 05-14-2002 US-6,389,589 B1 Mishra et al. ak A53 US-2002-0062435 A1 05-23-2002 Nemirovsky et al. US-6,397,232 B1 05-28-2002 Cheng-Hung et al. US-2002-0065701 A1 A55 05-30-2002 Kim et al. ME A56 US-6.408.336 B1 06-18-2002 Schneider et al. CU US-2002-0078307 A1 06-20-2002 Zahir. A58 US-2002-0091836 A1 07-11-2002 Moetteli A59 US-2002-0099822 A1 07-25-2002 Rubin et al. A60 US-2002-0103819 A1 08-01-2002 Duvillier et al. arl CUP A61 08-08-2002 US-2002-0108003 A1 Ellis et al. ak A62 US-6,438,690 B1 08-20-2002 Patel et al. US-2002-0129024 A1 09-12-2002 Lee A64 US-2002-0129135 A1 09-12-2002 Delany et al. US-2002-0129177 A1 09-12-2002 McGuire et al. A65 A66 US-2002-0133516 A1 09-19-2002 Davis et al. A67 09-24-2002 US-6,457,066 B1 Mein et al. A68 US-2002-0138543 A1 09-26-2002 Teng et al. US-2002-0138572 A1 09-26-2002 Delany et al. A70 US-2002-0138763 A1 09-26-2002 Delany et al.

Examiner Signature	a Rutledy-	 Date Considered	7/14/2	006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nich of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.



3

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	09/998,895		
Filing Date	November 30, 2001		
First Named Inventor	Teng, Joan C.		
Art Unit	2176		
Examiner Name	Amelia L. Rutledge		
Attorney Docket Number	021756-013000US		

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number Number Kind Code ^{2 (Streen)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
of	A71	US-2002-0143865 A1	10-03-2002	Tung Loo et al.			
WP_	A72	US-2002-0143943 A1	10-03-2002	Lee et al.			
ar	A73	US-2002-0147746 A1	10-10-2002	Lee			
at	A74	US-2002-0147801 A1	10-10-2002	Gullotta et al.			
or	A75	US-2002-0147813 A1	10-10-2002	Teng et al.	****		
ar	A76	US-2002-0152244 A1	10-17-2002	Dean et al.			
W/L	A77	US-2002-0152254 A1	10-17-2002	Teng			
w	A78	US-2002-0156879 A1	10-24-2002	Delany et al.			
cuf	A79	US-2002-0166049 A1	11-07-2002	Sinn			
cut	A80	US-2002-0174238 A1	11-21-2002	Sinn et al.			
ar	A81	US-2002-0184444 A1	12-05-2002	Shandony			
ak	A82	US-6,493,702 B1	12-10-2002	Adar et al.			
ar	A83	US-6,493,731 B1	12-10-2002	Jones et al.			
at-	A84	US-6,507,857 B1	01-14-2003	Yalcinalp			
de	A85	US-6,519,571 B1	02-11-2003	Guheen et al.			
ul	A86	US-6,519,767 B1	02-11-2003	Carter et al.	•		
ar	A87	US-6,523,027 B1	02-18-2003	Underwood			
UF	A88	US-6,539,021 B1	03-25-2003	Kennelly et al.			
at	A89 .	US-6,542,515 B1	04-01-2003	Kumar et al.			
CR	A90	US-2003-0065921 B1	04-03-2003	Chang			
ur	A91	US-6,564,370 B1	05-13-2003	Hunt			
w	A92	US-6,571,216 B1	05-27-2003	Garg et al.	•		
CUK-	A93	US-6,571,279 B1	05-27-2003·	Herz et al.			
ak	A94	US-6,574,675 B1	06-03-2003	Swenson	·		
ak	A95	US-2003-0110376 A1	06-12-2003	Wiener et al.			
al	A96	US-6,587,856 B1	07-01-2003	Srinivasan et al.			
or	A97	US-6,591,260 B1	07-08-2003	Schwarzhoff et al.			
ur	A98	US-6,606,644 B1	08-12-2003	Ford et al.	•		
or	A99	US-6,632,251 B1	10-14-2003	Rutten et al.	•		
ar	A100	US-6,643,661 B2	11-04-2003	Polizzi et al.			
ir	A101	US-6,671,695 B2	12-30-2003	McFadden			
we	A102	US-2004-0002903 A1	01-01-2004	Stolfo et al.			
æ	A103	US-6,675,261 B2	01-06-2004	Shandony			
M.	A104	US-6,678,733 B1	01-13-2004	Brown et al.			
W	A105	US-2004-0049675 A1	03-11-2004	Micali et al.			

Examiner Signature Date Considered 7/14/0/c		·				
	Examiner Signature	akatled co-	<u> </u>	 Ш	14106	, ,

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. For Japanese patent documents as 'midicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.



A126 US-6,970,862 B2

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known
Application Number	09/998,895
Filing Date	November 30, 2001
First Named Inventor	Teng, Joan C.
Art Unit	2176
Examiner Name	Amelia L. Rutledge
Attorney Docket Number	021756-013000US

			U.S. PATENT DO		
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		Number Kind Code ^{2 (Florous)}	04.04.0004	Caita at al	Lightes Appea
ar		US-2004-0064356 A1	04-01-2004	Saito et al.	
ar		US-6,728,685 B1	04-27-2004	Ahluwalia	
ar	A108	US-6,745,327 B1	06-01-2004	Messing	
aR	A109	US-6,748,374 B1	06-08-2004	Madan et al.	
aK	A110	US-6,748,569 B1	06-08-2004	Brooke et al.	
af	A111	US-6,751,797 B1	06-15-2004	Desgranges et al.	
ar	A112	US-6,754,702 B1	06-22-2004	Kennelly et al.	
ak	A113	US-2004-0153509 A1	08-05-2004	Alcom et al.	
al	A114	US-6,775,782 B1	08-10-2004	Buros et al.	
ar	A115	US-6,782,379 B2	08-24-2004	Lee	
ak	A116	US-6,785,726 B1	08-31-2004	Freeman et al.	
ar	A117	US-6,791,949 B1	09-14-2004	Ryu et al.	
ax	A118	US-6,816,871 B2	11-09-2004	Lee	•
ap	A119	US-6,842,863 B1	01-11-2005	Fox et al.	
ax	A120	US-6,851,054 B2	02-01-2005	Wheeler et al.	•
ap	A121	US-6,854,016 B1	02-08-2005	Kraenzel et al.	•
ack	A122	US-6,886,170 B1	04-26-2005	Bahrs et al.	
ak	A123	US-6,901,588 B1	05-31-2005	Krapf et al.	
ar	A124	US-6,959,415 B1	10-25-2005	Soderberg et al.	
ar		US-6,968,503 B1	11-22-2005	Chang et al.	

	FOREIGN PATENT DOCUMENTS																				
Examiner Cite		Fo	reign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages														
Initials*	No.¹			No. '	No.'	No.	No.						No. '		Country Code ³	Number ⁴	Kind Code ⁸ (<i>if known</i>)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T⁰
ak	B1	.wo	02/07396	A1 '	01-24-2002	Nokia Corporation															
	B2																				

11-26-2005

				·					
Examiner Signature	a	Butled	ye_	•	Date Considered	7/	14/	2006	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Indication of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

5

Application Number	09/998,895
Filing Date	November 30, 2001
First Named Inventor	Teng, Joan C.
Art Unit	2176
Examiner Name	Amelia L. Rutledge
Attorney Docket Number	021756_013000115

Complete if Known

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	C1	Myers, M. et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP," The Internet Society, 22 pages, June 1999				
	Ç2	US Patent Application No. 09/997,408, Office Action dated September 7, 2005, 11 pages				
·	С3	US Patent Application No. 09/997,408, Final Office Action dated February 15, 2006, 7 pages				
*************	C4	US Patent Application No. 09/997,409, Office Action dated June 3, 2005, 17 pages				
	C5	US Patent Application No. 09/997,409, Office Action dated November 7, 2005, 12 pages				
	C6	US Patent Application No. 09/998,893, Office Action dated June 13, 2005, 20 pages				
•	C7	US Patent Application No. 09/998,893, Final Office Action dated December 28, 2005, 12 pages				
•	C8	US Patent Application No. 09/998,898, Office Action dated September 21, 2005, 13 pages				
	С9	US Patent Application No. 09/998,908, Office Action dated January 25, 2005, 24 pages				
	C10	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2005, 14 pages				
	C11	US Patent Application No. 09/998,908, Advisory Action dated November 1, 2005, 3 pages				
	C12	US Patent Application No. 09/998,908, Office Action dated February 16, 2006, 15 pages				
Examiner Signature		Date Considered				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.



	MAT U 4 2000	ַב <u>ַ</u>
Substitute	1449B/PTO	8/

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sneets as	necessary)
	6	of

Complete if Known				
Application Number	09/998,895			
Filing Date	November 30, 2001			
First Named Inventor	Teng, Joan C.			
Art Unit	21,76			
Examiner Name	Amelia L. Ruttedge			
Attorney Docket Number	021756-013000US			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	C13	US Patent Application No. 09/998,910, Office Action dated January 28, 2005, 12 pages		
	C14	US Patent Application No. 09/998,910, Office Action dated December 13, 2805, 10 pages		
	C15	US Patent Application No. 09/998,914, Office Action dated July 14, 2005, 19 pages		
·	C16	US Patent Application No. 09/998,914, Final Office Action dated January 30, 2006, 15 pages		
	C17	US Patent Application No. 09/998,916, Office Action dated March 21, 2005, 16 pages		
	C18	US Patent Application No. 09/998,916, Final Office Action dated September 8, 2005, 12 pages		
 	C19	US Patent Application No. 09/898,916, Advisory Action dated December 9, 2005, 4 pages		
	C20	US Patent Application No. 09/998,926, Office Action dated August 25, 2005, 26 pages		
100000000000000000000000000000000000000	C21	US Patent Application No. 09/998,926, Final Office Action dated February 27, 2006, 15 pages		
***************************************	C22	US Patent Application No. 09/999,074, Office Action dated March 24, 2005, 24 pages		
/	C23	US Patent Application No. 09/999,074, Final Office Action dated September 20, 2005, 19 pages		
	C24	US Patent Application No. 09/999,074, Advisory Action dated December 20, 2005, 3 pages		
Examiner Signature	Date Considered			

EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

mot beside

not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

E T

Attorney Docket Number

Complete if Known

Application Number 09/998,895

Filing Date November 30, 2001

First Named Inventor Teng, Joan C.

Art Unit 2176

Examiner Name Amelia L. Rutledge

021756-013000US

(Use as many sheets as necessary)

Sheet 7 of 7

1		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
! !	C25	US Patent Application No. 09/999,177, Office Action dated March 25, 2005, 23 pages		
	C26	US Patent Application No. 09/999,177, Final Office Action dated September 14, 2005, 26 pages		. 6- \
·	C27	US Patent Application No. 09/999,177, Advisory Action dated December 14, 2005, 6 pages		most dered
	C28	US Patent Application No. 10/145,684, Office Action dated June 16, 2005, 17 pages		W.
	C29	US Patent Application No. 19/145,684, Final Office Action dated December 1, 2005, 10 pages		
	C30	US Patent Application No. 10/145,684, Advisory Action dated March 9, 2006, 3 pages		
	C31	US Patent Application No. 10/146,150, Office Action dated July 27, 2005, 17 pages		
/	C 32	US Patent Application No. 10/146,150, Final Office Action dated December 20, 2005, 12 pages		
	C33	US Patent Application No. 10/146,150, Advisory Action dated March 13, 2006, 4 pages		

1	•		
Examiner		· Date	
	•		
Signature	·	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include conv of this form with part communication to applicant

not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.